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# Prepublication Requirements

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# **Revised Requirements for Diagnostic Imaging Services**

APPLICABLE TO HOSPITALS AND CRITICAL ACCESS HOSPITALS

Effective July 1, 2014

#### **Environment of Care (EC)**

#### Standard EC.02.01.01

The [critical access] hospital manages safety and security risks.

#### Elements of Performance for EC.02.01.01

- <u>A 14.</u> For [critical access] hospitals that provide magnetic resonance imaging (MRI) services: The [critical access] hospital manages safety risks in the MRI environment associated with the following:
  - Patients who may experience claustrophobia, anxiety, or emotional distress
  - Patients who may require urgent or emergent medical care
  - Patients with medical implants, devices, or imbedded foreign objects (such as shrapnel)
  - Ferromagnetic objects entering the MRI environment
  - Acoustic noise
- <u>A 16.</u> <u>® For [critical access] hospitals that provide magnetic resonance imaging (MRI) services: The [critical access] hospital manages safety risks by doing the following:</u>
  - Restricting access of everyone not trained in MRI safety or screened by MRI-trained staff from the

- scanner room and the area that immediately precedes the entrance to the MRI scanner room.
- Making sure that these restricted areas are controlled by and under the direct supervision of MRI-trained staff.
- Posting signage at the entrance to the MRI scanner room that conveys that potentially dangerous magnetic fields are present in the room. Signage should also indicate that the magnet is always on except in cases where the MRI unit, by its design, can have its magnetic field routinely turned on and off by the operator.

#### Standard EC.02.02.01

The [critical access] hospital manages risks related to hazardous materials and waste.

#### Element of Performance for EC.02.02.01

▲ 17. For [critical access] hospitals that provide computed tomography (CT), positron emission tomography (PET), or nuclear medicine (NM) services: Staff dosimetry results are reviewed at least quarterly by the radiation safety officer or diagnostic medical physicist to assess whether staff radiation exposure levels are "As Low As Reasonably Achievable" (ALARA) and below regulatory limits.

Note 1: For the definition of ALARA, please refer to U.S. Nuclear Regulatory Commission federal regulation 10 CFR 20.1003.

Key: A indicates scoring category A; C indicates scoring category C; (a) indicates that documentation is required; (b) indicates Measure of Success is needed; indicates an Immediate Threat to Health or Safety; (a) indicates situational decision rules apply; (b) indicates direct impact requirements apply; (c) indicates an identified risk area



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Note 2: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

#### **Standard EC.02.04.01**

The [critical access] hospital manages medical equipment

#### Element of Performance for EC.02.04.01

<u>A 7.</u> The [critical access] hospital identifies quality control and maintenance activities to maintain the quality of diagnostic images produced. The organization identifies how often these activities should be conducted. (See also EC.02.04.03, EP 15)

#### Standard EC.02.04.03

The [critical access] hospital inspects, tests, and maintains medical equipment.

#### Elements of Performance for EC.02.04.03

- <u>C 15.</u> The [critical access] hospital maintains the quality of the diagnostic images produced. (See also EC.02.04.01, EP 7)
- A 17. ⊚ For [critical access] hospitals in California that provide diagnostic computed tomography (CT) services: A qualified At least annually, a diagnostic medical physicist measures does the following: ⊕- ③
  - Measures the actual radiation dose \*(in the form of volume computed tomography dose index [CTDIvol]) produced by each diagnostic CT imaging system at least annually for the following four CT protocols: adult brain, adult abdomen, pediatric brain, and verifies pediatric abdomen. If one or more of these protocols is not used by the [critical access] hospital, other commonly used CT protocols may be substituted.
  - Verifies that the radiation dose (in the form of CTDIvol) displayed enby the CT imaging system for standard adult brain, adult abdomen, and pediatric brain protocolseach tested protocol is within 20 percent of the actual amount of radiation dose delivered. CTDIvol displayed on the CT console. The dates, results, and verifications of these verifications measurements are documented.

**Note <u>1</u>:** This element of performance is <del>applicable</del> only <u>applicable</u> for systems capable of calculating and displaying radiation doses in the form of CTDIvol.

\* For the definition of "radiation dose" refer to section

115111(f) of the California Health and Safety Code.

Note 2: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

- ▲ 19. ⑤ For [critical access] hospitals that provide diagnostic computed tomography (CT) services: At least annually, a diagnostic medical physicist conducts a performance evaluation of all CT imaging equipment. The evaluation results, along with recommendations for correcting any problems identified, are documented. The evaluation includes the use of phantoms to assess the following imaging metrics:
  - Image uniformity
  - Slice thickness accuracy
  - Slice position accuracy (when prescribed from a scout image)
  - Alignment light accuracy
  - Table travel accuracy
  - Radiation beam width
  - High-contrast resolution
  - Low-contrast resolution
  - Geometric or distance accuracy
  - CT number accuracy and uniformity
  - Artifact evaluation

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

- ▲ 20. ⊚ For [critical access] hospitals that provide magnetic resonance imaging (MRI) services: At least annually, a diagnostic medical physicist or MRI scientist conducts a performance evaluation of all MRI imaging equipment.

  The evaluation results, along with recommendations for correcting any problems identified, are documented. The evaluation includes the use of phantoms to assess the following imaging metrics:
  - Image uniformity for all radiofrequency (RF) coils used clinically
  - Signal-to-noise ratio (SNR) for all coils used clinically
  - Slice thickness accuracy
  - Slice position accuracy
  - Alignment light accuracy



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- High-contrast resolution
- Low-contrast resolution (or contrast-to-noise ratio)
- Geometric or distance accuracy
- · Magnetic field homogeneity
- Artifact evaluation
- A 21. 

  For [critical access] hospitals that provide nuclear medicine (NM) services: At least annually, a diagnostic medical physicist conducts a performance evaluation of all NM imaging equipment. The evaluation results, along with recommendations for correcting any problems identified, are documented. The evaluations are conducted for all of the image types produced clinically by each NM scanner (for example, planar and/or tomographic) and include the use of phantoms to assess the following imaging metrics:
  - Image uniformity/system uniformity
  - High-contrast resolution/system spatial resolution
  - <u>Low-contrast resolution or detectability (not applicable for planar acquisitions)</u>
  - Sensitivity
  - Energy resolution
  - Count-rate performance
  - Artifact evaluation
- ▲ 22. ⊚ For [critical access] hospitals that provide positron emission tomography (PET) services: At least annually, a diagnostic medical physicist conducts a performance evaluation of all PET imaging equipment. The evaluation results, along with recommendations for correcting any problems identified, are documented. The evaluations are conducted for all of the image types produced clinically by each PET scanner (for example, planar and/or tomographic) and include the use of phantoms to assess the following imaging metrics:
  - Image uniformity/system uniformity
  - High-contrast resolution/system spatial resolution
  - <u>Low-contrast resolution or detectability (not applicable for planar acquisitions)</u>
  - Artifact evaluation

Note: The following tests are recommended, but not required, for PET scanner testing: sensitivity, energy resolution, and count-rate performance.

<u>A 23.</u> For [critical access] hospitals that provide computed tomography (CT), positron emission tomography (PET), nuclear medicine (NM), or magnetic resonance imaging

(MRI) services: The annual performance evaluation conducted by the diagnostic medical physicist includes testing of image acquisition display monitors for maximum and minimum luminance, luminance uniformity, resolution, and spatial accuracy.

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

#### Standard EC.02.06.05

The [critical access] hospital manages its environment during demolition, renovation, or new construction to reduce risk to those in the organization.

#### Elements of Performance for EC.02.06.05

A 4. For [critical access] hospitals that provide computed tomography (CT), positron emission tomography (PET), or nuclear medicine (NM) services: Prior to installation of new imaging equipment, replacement of existing imaging equipment, or modification to rooms where ionizing radiation will be emitted or radioactive materials will be stored (such as scan rooms or hot labs), a medical physicist conducts a structural shielding design\* to specify required radiation shielding.

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

- \* For additional guidance on shielding designs and radiation protection surveys, see National Council on Radiation Protection and Measurements Report No. 147 (NCRP-147).
- A 6. For [critical access] hospitals that provide computed tomography (CT), positron emission tomography (PET), or nuclear medicine (NM) services: After installation of imaging equipment or construction in rooms where ionizing radiation will be emitted or radioactive materials will be stored, a medical physicist conducts a radiation protection survey to verify the adequacy of installed shielding.\* This survey is conducted prior to clinical use of the room.

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

\* For additional guidance on shielding designs and



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radiation protection surveys, see National Council on Radiation Protection and Measurements Report No. 147 (NCRP-147).

**Human Resources (HR)** 

#### **Standard HR.01.02.05**

The [critical access] hospital verifies staff qualifications.

#### Elements of Performance for HR.01.02.05

- © 19. © For [critical access] hospitals that provide computed tomography (CT) services: Starting July 1, 2015, the [critical access] hospital verifies and documents that a radiologic technologist who performs CT exams has the following qualifications: ©
  - Registered and certified by the American Registry of Radiologic Technologists (ARRT), or certified by the Nuclear Medicine Technology Certification Board (NMTCB)
  - Trained and experienced in the operation of CT equipment

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

- © 20. 
  For [critical access] hospitals that provide diagnostic computed tomography (CT) services: The [critical access] hospital verifies and documents that diagnostic medical physicists that support CT services have board certification in diagnostic radiologic physics or radiologic physics by the American Board of Radiology, or in Diagnostic Imaging Physics by the American Board of Medical Physics, or in Diagnostic Radiological Physics by the Canadian College of Physicists in Medicine, or meet all of the following requirements:
  - A graduate degree in physics, medical physics, biophysics, radiologic physics, medical health physics, or a closely related science or engineering discipline from an accredited college or university
  - Formal graduate-level coursework in the biological sciences with at least one course in biology or radiation biology and one course in anatomy, physiology, or a similar topic related to the practice of medical physics
  - Documented experience in a clinical CT environment conducting at least 10 CT performance evaluations under the direct supervision of a board-certified medical physicist

Note: This element of performance does not apply to

dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

#### **Standard HR.01.05.03**

Staff participate in ongoing education and training.

#### Elements of Performance for HR.01.05.03

A 14. © For [critical access] hospitals that provide diagnostic computed tomography (CT) services: The [critical access] hospital verifies and documents that radiologic technologists who perform CT examinations participate in ongoing education that includes annual training on radiation dose reduction techniques, Image Gently®, and Image Wisely®.

Note 1: Information on the Image Gently® and Image
Wisely® initiatives can be found online at
<a href="http://www.imagegently.org">http://www.imagegently.org</a> and
<a href="http://www.imagewisely.org">http://www.imagewisely.org</a>, respectively.

Note 2: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

- <u>A 25.</u> © For [critical access] hospitals that provide magnetic resonance imaging (MRI) services: The [critical access] hospital verifies and documents that technologists who perform MRI examinations participate in ongoing education that includes annual training on safe MRI practices in the MRI environment, including the following:
  - Patient screening criteria that address ferromagnetic items, medical implants and devices, and risk for nephrogenic systemic fibrosis (NSF)
  - Proper patient positioning activities to avoid burns
  - Equipment and supplies that have been determined to be acceptable for use in the MRI environment (MR safe or MR conditional)\*
  - MRI safety response procedures for patients who require urgent or emergent medical care
  - MRI equipment emergency shutdown procedures
  - Patient hearing protection
  - Management of patients with claustrophobia, anxiety, or emotional distress
  - \* Terminology for defining the safety of items in the magnetic resonance environment is provided in ASTM F2503 Standard Practice for Marking Medical Devices and Other Items for Safety in the Magnetic Resonance Environment (http://www.astm.org).



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#### **Medication Management (MM)**

#### Standard MM.06.01.01

The [critical access] hospital safely administers medications.

#### Element of Performance for MM.06.01.01

▲ 13. Before administering a radioactive pharmaceutical for diagnostic purposes, staff verify that the dose to be administered is within 20% of the prescribed dose, or, if the dose is prescribed as a range, staff verify that the dose to be administered is within the prescribed range.

#### **Provision of Care, Treatment, and Services (PC)**

#### **Standard PC.01.02.15**

The [critical access] hospital provides for diagnostic testing.

#### Elements of Performance for PC.01.02.15

© 5. 
⑤ For [critical access] hospitals in California that provide diagnostic computed tomography (CT) services: The [critical access] hospital documents in the patient's medical record the radiation dose \*(CTDIvol or DLP) on every study produced during a CT examination. 
⑥ ③

**Note 1:** This element of performance is applicable only applicable for systems capable of calculating and displaying radiation doses.

**Note 2:** This element of performance does not apply to systems used for therapeutic radiation treatment planning or delivery, or for calculating attenuation coefficients for nuclear medicine studies.

\* For the definition of "radiation dose" refer to section 115111(f) of the California Health and Safety Code.

Note 3: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

© 6. For [critical access] hospitals in California that provide diagnostic computed tomography (CT) services: The interpretive report of a diagnostic CT study includes the volume computed tomography dose index (CTDIvol) or dose-length product (DLP) radiation dose. ★ The dose is either recorded in the patient's interpretive report or included on the protocol page, which is then attached to the interpretive report. 

©

**Note\_1:** This element of performance is applicable only applicable for systems capable of calculating and displaying radiation doses.

\* For the definition of "radiation dose" refer to section 115111(f) of the California Health and Safety Code.

Note 2: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

C 7. For [critical access] hospitals in California that provide computed tomography (CT) services: The [critical access] hospital electronically sends each CT study and protocol page that lists the radiation dose \* and related technical factors to the [critical access] hospital's electronic picture archiving and communications system.

**Note:** This element of performance is applicable only for systems capable of calculating and displaying radiation doses.

\* For the definition of "radiation dose" refer to section 115111(f) of the California Health and Safety Code.

- ▲ 10. For [critical access] hospitals that provide diagnostic computed tomography (CT), magnetic resonance imaging (MRI), positron emission tomography (PET), or nuclear medicine (NM) services: Prior to conducting a diagnostic imaging study, the [critical access] hospital verifies the following:
  - Correct patient
  - Correct imaging site
  - Correct patient positioning
  - For CT only: Correct imaging protocol
  - For CT only: Correct scanner parameters

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

▲ 12. For [critical access] hospitals that provide diagnostic computed tomography (CT), magnetic resonance imaging (MRI), positron emission tomography (PET), or nuclear medicine (NM) services: The [critical access] hospital considers the patient's age and recent imaging exams when deciding on the most appropriate type of imaging exam.

**Note 1:** Knowledge of a patient's recent imaging exams can help to prevent unnecessary duplication of these examinations.

Note 2: This element of performance does not apply to dental cone beam CT radiographic imaging studies



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performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

#### Standard PC.01.03.01

The [critical access] hospital plans the patient's care.

#### Elements of Performance for PC.01.03.01

A 25. For [critical access] hospitals that provide diagnostic computed tomography (CT) services: The [critical access] hospital establishes imaging protocols based on current standards of practice, which address key criteria including clinical indication, contrast administration, age (to indicate whether the patient is pediatric or an adult), patient size and body habitus, and the expected radiation dose range. (See also PI.01.01.01, EP 46)

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies

dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

A 26. For [critical access] hospitals that provide diagnostic computed tomography (CT) services: Imaging protocols are reviewed and kept current with input from an interpreting radiologist, medical physicist, and lead imaging technologist to make certain that they adhere to current standards of practice and account for changes in CT imaging equipment. These reviews are conducted at time frames identified by the organization.

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.

#### Performance Improvement (PI)

#### Standard PI.01.01.01

The [critical access] hospital collects data to monitor its performance.

#### Elements of Performance for Pl.01.01.01

- <u>A 46.</u> For [critical access] hospitals that provide magnetic resonance imaging (MRI) services: The [critical access] hospital collects data on patient burns that occur during MRI exams.
- A 47. For [critical access] hospitals that provide magnetic resonance imaging (MRI) services: The [critical access] hospital collects data on the following:

- Incidents where ferromagnetic items entered the MRI scanner room
- <u>Injuries resulting from the presence of ferromagnetic</u> items in the MRI scanner room

#### Standard PI.02.01.01

The [critical access] hospital compiles and analyzes data.

#### Elements of Performance for PI.02.01.01

A 6. For [critical access] hospitals that provide diagnostic computed tomography (CT) services: The [critical access] hospital compiles and analyzes data on patient CT radiation doses and compares it with external benchmarks, when such benchmarks are available.

Note: This element of performance does not apply to dental cone beam CT radiographic imaging studies performed for diagnosis of conditions affecting the maxillofacial region or to obtain guidance for the treatment of such conditions.